

11/21/01
11044 U.S. PTO

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. NEI135
First Inventor Pedro Queiroz Vieira
Title Evaporation Device For Volatile
Express Mail Label No. EV044262875US Substances

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 - ☒ Applicant claims small entity status.
See 37 CFR 1.27.
 - ☒ Specification (Total Pages
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 - ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets
 - Oath or Declaration [Total Pages - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09 / 919,125***
Prior application information: Examiner Group Art Unit: 3742

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input checked="" type="checkbox"/> Correspondence address below	
Name	Flint & Kim, P.A. *** 09/918,898	
Address	P.O. Box 10827 09/739,981 - Wilson 3749 Greenville, SC 29603-0827	
City	Greenville	State SC Zip Code 29603-0827
Country	USA	Telephone 864-232-4261 Fax 864-232-4437

Name (Print/Type)	Cort Flint	Registration No. (Attorney/Agent)	27,260
Signature		Date	11-20-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 410.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Pedro Queiroz Vieira
Examiner Name	
Group Art Unit	
Attorney Docket No.	NEU35

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 500610
Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity	Fee Description	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	370
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 370

2. EXTRA CLAIM FEES

Total Claims 20 -20** = 0 X Fee from below = 0
Independent Claims 3 -3** = 0 X Fee Paid = 0
Multiple Dependent

	Large Entity	Small Entity	Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity	Fee Description	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

SUBMITTED BY

Name (Print/Type)	Cort Flint	Registration No. (Attorney/Agent)	27,260	Telephone	864-232-4261
Signature				Date	11-20-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Pedro Queiroz Vieira	Examiner:
Serial No.	Group Art Unit:
Filed:	
For: Evaporation Device For Volatile Substances	Docket No. NEU35

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

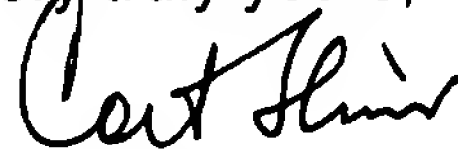
Dear Sir:

TRANSMITTAL LETTER

Please find the following correspondence items enclosed for filing in the United States Patent and Trademark Office:

1. Utility Patent Application Transmittal, including: (a) Fee Transmittal Form and cheque in the amount of \$410.00; (b) 24 pages of specification; and (c) 3 sheets of Drawings.
2. Declaration For Patent Application and Power of Attorney.
3. Patent Assignment Cover Sheet and Assignment.
4. Information Disclosure Statement; Form PTO 1449 with attached patents.
5. 3 Notifications Of Filing Of Continuation-in-Part for Serial Nos. 09/919,125, 09/918,898, and 09/739,981.
6. Return receipt postcard.

Very truly yours,



Cort Flint, Reg. No. 27,260
FLINT & KIM, P.A.
P.O. Box 10827
Greenville, S.C. 29603-0827
Telephone: (864) 232-4261
Attorneys for the Applicant

I hereby certify that this correspondence is being deposited with the United States Postal Service as "EXPRESS MAIL" mailing label EV 044262861 with sufficient postage and mailing label affixed thereto, in an envelope addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C., 20231 on the date indicated below.

By: 

Date: November 20, 2001

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044262861
US
JM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Pedro Queiroz Vieira	Examiner: Group Art Unit: 1619
Serial No. 09/918,898	
Filed: 07/31/2001	
For: Evaporation Device For Volatile Substances	Docket No. NEU34

j1002 U.S. PRO
09/994032
11/21/01

Assistant Commissioner for Patents
Washington, D.C. 20231

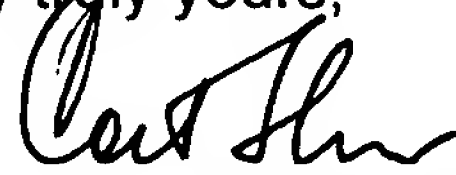
Dear Sir:

TRANSMITTAL LETTER

Please find the following correspondence items enclosed for filing in the United States Patent and Trademark Office:

1. Notification Of Filing Of Continuation-in-Part.
2. Return receipt postcard.

Very truly yours,



Cort Flint, Reg. No. 27,260
FLINT & KIM, P.A.
P.O. Box 10827
Greenville, S.C. 29603-0827
Telephone: (864) 232-4261
Attorneys for the Applicant

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage affixed thereto, in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231, on the date indicated below:

By: Anne Hayle Myers

Date: November 20, 2001

In re application of: Pedro Queiroz Vieira
Application No.: 09 / 739,981 Group No.: 3749
Filed: 12/18/00 Examiner: Gregory A. Wilson
For: Evaporation Device For Volatile Substances
Assistant Commissioner for Patents
Washington, D.C. 20231

**NOTIFICATION OF FILING OF CONTINUING,
DIVISIONAL OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

- ☐ continuation
- ☒ continuation-in-part
- ☐ divisional
- ☐ continued prosecution

application for this case

- ☒ concurrently herewith.
☐ on _____

Date

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10

**(When using Express Mail, the Express Mail label number is mandatory,
Express Mail certification is optional.)**

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)
- ☒ with sufficient postage as first class mail.
- ☐ as "Express Mail Post Office to Addressee"
Mailing Label No. _____ (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Anne Gayle Myers
Signature

Date: 11-20-01

Gayle Myers

(type or print name of person certifying)

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*"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.*

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 1 of 2))



SIGNATURE OF PRACTITIONER

Reg. No. 27,260

Cort Flint

(type or print name of practitioner)

Tel. No.: (864) 232-4261

P.O. Box 10827

P.O. Address

Customer No.:

Greenville, SC 29603-0827

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